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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

j1046 U.S. PTO  
09/931510  
08/16/01

**In re application of:** E. Bayne Carew

**Serial No.:** To Be Assigned

**Group Art Unit:** To Be Assigned

**Filed:** Concurrent Herewith

**For:** FILTER ASSEMBLY, FILTER ELEMENT, AND  
METHOD OF UTILIZING THE SAME

**Attorney Docket No.:** 64,131-003

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

**Box Patent Application**  
**Assistant Commissioner for Patents**  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 37 CFR 1.98 and in compliance with 37 CFR 1.56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are enclosed.

None of these items discloses the inventive concepts claimed in the subject application.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

The Commissioner is hereby authorized to charge any additional fees or credit to Deposit Account No. 08-2789. **A duplicate of this letter is enclosed.**

Respectfully submitted,

**HOWARD & HOWARD ATTORNEYS, P.C.**

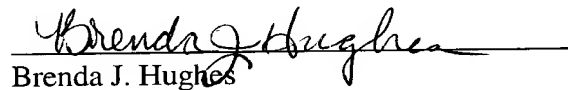


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**Dated:** August 16, 2001

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 "EXPRESS MAIL" Mailing Label No. **EL 903 654 956 US** and is addressed to the **Box Patent Application, Assistant Commissioner for Patents, Washington, D. C. 20231** on **August 16, 2001**.

  
Brenda J. Hughes